

PKCS#11 Wrapper – XML Signing Integration Document

For XML signing, we use XMLSecTool, which is a powerful command-line tool that provides a range of functionalities for securing XML documents. Combined with our PKCS11 Wrapper Tool, you will easily be able to digitally sign XML files and ensure their integrity and authenticity.

XMLSecTool runs on Java and reads its signing key from a PKCS#11 configuration file rather than from a keystore on disk. Because that configuration points at Encryption Consulting's PKCS#11 wrapper, the private key never leaves the HSM and every signing operation is recorded centrally. Unlike file signing, the resulting signature is embedded inside the XML document itself, and a new signed document is written to the output path.

Section 1 covers the steps common to both platforms. After that, follow only the track for your operating system — Sections 2 and 3 for Linux (Ubuntu), or Sections 4 and 5 for macOS.

Prerequisites: Access to the CodeSign Secure portal, administrative rights on the client machine, and a sample XML file to sign.

1. Common Setup

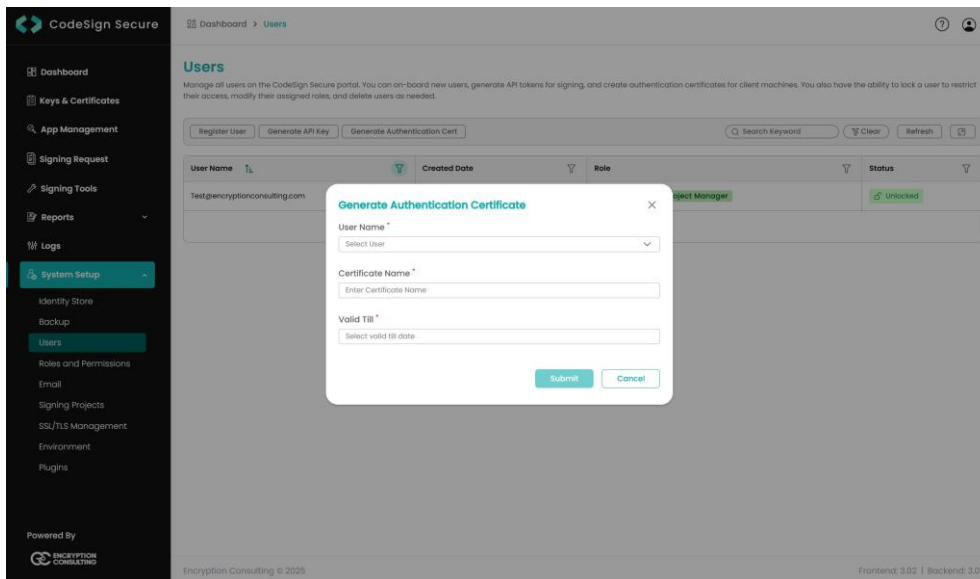
Both platforms need the same two things before the wrapper will work: an SSL authentication certificate that identifies your machine to CodeSign Secure, and the two configuration files that ship inside the PKCS#11 Wrapper download.

Steps:

Step 1: Create the SSL Authentication Certificate

- To create the SSL Auth Certificate, go to the CodeSign Secure Portal > System Setup > User. From the right drop down, select the “Generate Authentication Certificate” option.
- Enter the certificate name, user name, and expiry date. A certificate is generated and downloaded, and its password is displayed.

NOTE: The password is displayed only once, so copy and store it safely. Both the certificate path and its password are entered into `ec_pkcs11client.ini` in your platform track.



Step 2: Understand the Two Configuration Files

- The PKCS#11 Wrapper download contains two files that must be edited before use. The same fields apply on both platforms.
 - **pkcs11properties.cfg** – Describes the PKCS#11 token to XMLSecTool. Update it with the correct library path, which is `ec_pkcs11client.so` on Ubuntu and the equivalent library on macOS.
 - **ec_pkcs11client.ini** – Points the wrapper at your CodeSign Secure server and at the authentication certificate from Step 1. The fields to update are listed below.

Field	Description
name	The path location of the Log xml file you downloaded with the PKCS11 Wrapper tool (EC_PKCS11_CLIENT-LogConfig.xml).
url	Verify the server url of your CodeSign Secure portal.
username	The username of your CodeSign Secure account.
passcode	The secret passcode which was used to set up the CodeSign Secure portal.
path	The path location of your SSL Authentication certificate.
passwd	The password of your SSL Authentication certificate.

NOTE: When using Luna HSM, the slot number must also be added to the `pkcs11properties.cfg` file. Each platform track below shows this variation.

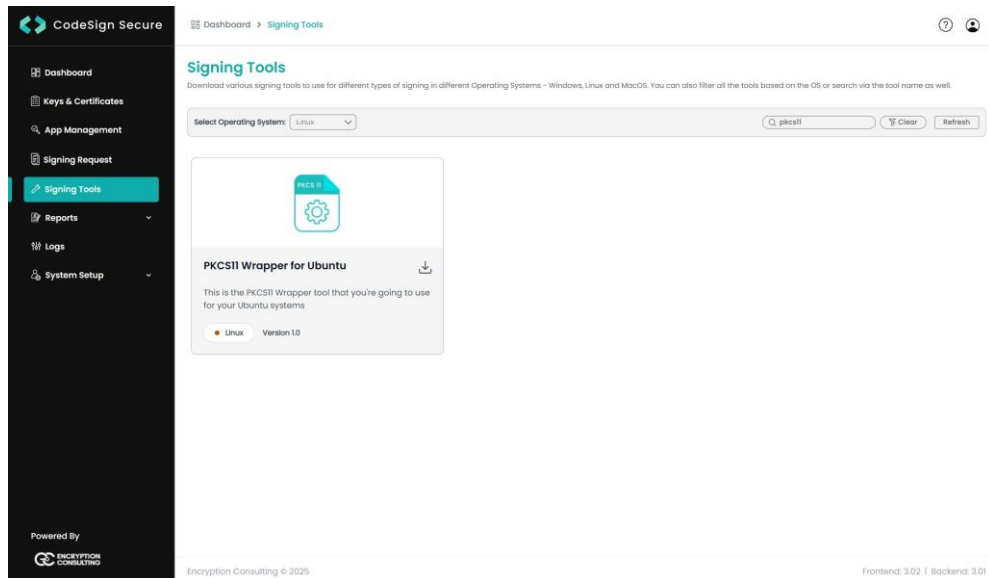
2. Linux (Ubuntu): Install and Configure

To perform XML Signing using XMLSec Tool and our PKCS11 Wrapper in a Linux (Ubuntu) machine, you would need to follow the below steps.

Steps:

Step 1: Download the PKCS#11 Wrapper

- Download our PKCS11 Wrapper Tool from the CodeSign Secure portal in the Signing Tools section in your Ubuntu machine.



Step 2: Download XMLSecTool

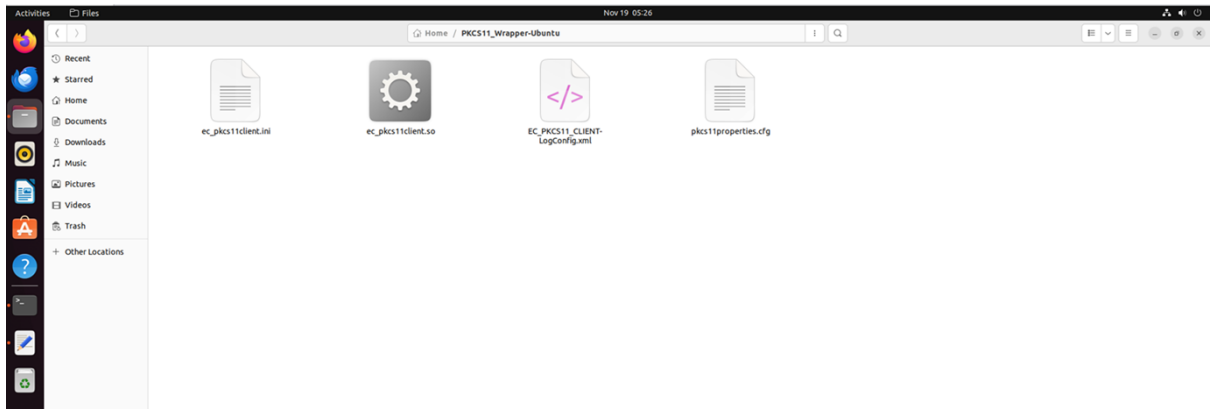
- Next we will need to download the latest version of XMLSec Tool (xmlsectool-3.0.0-bin.zip) using this [link](#). You will need to extract the zip file into a directory of your choice.

Index of /downloads/tools/xmlsectool/latest

Name	Last modified	Size
Parent Directory		-
xmlsectool-3.0.0-bin.zip.sha1	2020-12-15 15:52	40
xmlsectool-3.0.0-bin.zip.md5	2020-12-15 15:52	32
xmlsectool-3.0.0-bin.zip.asc	2020-12-15 15:52	801
xmlsectool-3.0.0-bin.zip	2020-12-15 15:49	14M

Step 3: Update pkcs11properties.cfg

- Go to your PKCS11 Wrapper directory (./PKCS11_Wrapper_Ubuntu).



- Open the “pkcs11properties.cfg” file in a text editor and update it with the correct library path (ec_pkcs11client.so).

```
pkcs11properties.cfg

name=signingmanager
library=/home/aryan/PKCS_Wrapper_Ubuntu/ec_pkcs11client.so
attributes=compatibility
attributes(*, *, *) = {
CKA_TOKEN=true
}
```

NOTE: When using Luna HSM, add the slot number in the pkcs11properties.cfg file as shown below.

```
pkcs11properties.cfg

name=signingmanager
library=/home/aryan/PKCS_Wrapper_Ubuntu/ec_pkcs11client.so
slot=3
attributes=compatibility
attributes(*, *, *) = {
CKA_TOKEN=true
}
```

Step 4: Update ec_pkcs11client.ini

- Open the “ec_pkcs11client.ini” file in a text editor and update the six fields listed in Section 1, Step 2.

- The initialization file should look like this with all the correct file paths and settings.

```

ec_pkcs11client.ini

[logconfig_file]
name=/home/aryan/PKCS_Wrapper_Ubuntu/EC_PKCS11_CLIENT-LogConfig.xml

[server_url]
url=https://devcodesignsecure.encryptionconsulting.com

[pfxfile_path]
path=/home/aryan/PKCS_Wrapper_Ubuntu/Pkcs11Test.pfx

[pfxfile_passwd]
passwd=de48b6924cb8

[login_token]
username=Aryan@encryptionconsulting.com
passcode=8@!$U3QXS5Xtu2vf

```

Step 5: Set the EC_INI_FILE_PATH Environment Variable

- Now we need to add the environment variable for the pkcs11 client library.
- Run the below command to open the bashrc file:

```
nano ~/.bashrc
```

```

administrator@administrator-Standard-PC-i440FX-PIIX-1996:~/PKCS11_Wrapper-Ubuntu$ nano ~/.bashrc
administrator@administrator-Standard-PC-i440FX-PIIX-1996:~/PKCS11_Wrapper-Ubuntu$

```

- Now add the EC_INI_FILE_PATH variable with the path of the .ini at the end of the bashrc file, like:

```
export EC_INI_FILE_PATH=\
/home/administrator/PKCS11_Wrapper-Ubuntu/ec_pkcs11client.ini
```

```

fi
export EC_INI_FILE_PATH=/home/administrator/PKCS11_Wrapper-Ubuntu/ec_pkcs11client.ini

```

^G Help ^O Write Out ^W Where Is ^K Cut ^T Execute ^C Locati
 ^X Exit ^R Read File ^\ Replace ^U Paste ^J Justify ^_ Go To

- Press Ctrl+X, then enter Y, and then press Enter to save.
- Reload the environment variables:

```
source ~/.bashrc
```

```
administrator@administrator-Standard-PC-i440FX-PIIX-1996:~/PKCS11_Wrapper-Ubuntu$ source ~/.bashrc
administrator@administrator-Standard-PC-i440FX-PIIX-1996:~/PKCS11_Wrapper-Ubuntu$
```

- Check whether the variable has been set correctly:

```
echo $EC_INI_FILE_PATH
```

```
administrator@administrator-Standard-PC-i440FX-PIIX-1996:~/PKCS11_Wrapper-Ubuntu$ echo $EC_INI_FILE_PATH
/home/administrator/PKCS11_Wrapper-Ubuntu/ec_pkcs11client.ini
administrator@administrator-Standard-PC-i440FX-PIIX-1996:~/PKCS11_Wrapper-Ubuntu$
```

NOTE: If you cannot see the file location from the echo command, open a new terminal and try again.

Step 6: Install Java

- Now, you would need to download and install Java in your Ubuntu machine. Open Terminal from the interface or press Ctrl+Alt+T.
- Run the below commands to install the Amazon Corretto 17 java version on your machine. You can check other supported Java versions with XMLSec Tool [here](#).

```
wget -O - https://apt.corretto.aws/corretto.key \
| sudo gpg --dearmor \
-o /usr/share/keyrings/corretto-keyring.gpg
```

```
echo "deb [signed-by=/usr/share/keyrings/corretto-keyring.gpg] \
https://apt.corretto.aws stable main" \
| sudo tee /etc/apt/sources.list.d/corretto.list
```

- Now install the package using the below commands:

```
sudo apt-get update
sudo apt-get install -y java-17-amazon-corretto-jdk
```

- To check whether Java has installed properly or not, run the below command:

```
java -version
```

Step 7: Set the JAVA_HOME Environment Variable

- Now, you would need to add a “JAVA_HOME” environment variable in your system. Run the below command to find the correct path of Java in your system.

```
update-alternatives --config java
```

- It might ask you to choose between paths if you have multiple Java versions installed. Enter the number which corresponds to your Java version.
- The path will look something similar to this: /usr/lib/jvm/java-17-amazon-corretto/bin/java
- Before you set the JAVA_HOME variable system wide, you should take a backup of the environment file.

```
cp ~/.bashrc ~/.bashrc.bak
```

NOTE: If you make an error while setting the environment variables, restore your own backup with “cp ~/.bashrc.bak ~/.bashrc”. To reset to the system default instead, use “cp /etc/skel/.bashrc ~/”, which discards any other customisations in the file.

- Replace the path of the Java file before running the below command to set the JAVA_HOME variable.

```
echo "export JAVA_HOME=/usr/lib/jvm/java-17-amazon-corretto" \  
>> ~/.bashrc
```

NOTE: Only add the path up to the main directory, that is java-17-amazon-corretto, and not as far as the bin/java executable.

- Check the last 3 lines of the file to verify the change by running the below command:

```
tail -3 ~/.bashrc
```

- Run the below command to reload the environment variable:

```
source ~/.bashrc
```

Step 8: Install the Remaining Packages

- You would also need to install some other pre-requisite packages to perform XML signing.

```
sudo apt-get install curl
```

```
sudo apt-get install liblog4cxx-dev
sudo apt-get install liblog4cxx12
```

NOTE: If the “sudo apt-get install liblog4cxx12” command gives an error, install the package directly for your architecture using the commands below.

- For amd architecture:

```
wget http://archive.ubuntu.com/ubuntu/pool/universe/l/log4cxx/\
liblog4cxx12_0.12.1-4_amd64.deb
sudo dpkg -i liblog4cxx12_0.12.1-4_amd64.deb
```

- For arm architecture:

```
wget http://ports.ubuntu.com/pool/universe/l/log4cxx/\
liblog4cxx12_0.12.1-4_arm64.deb
sudo dpkg -i liblog4cxx12_0.12.1-4_arm64.deb
```

- We are now ready with our environment to perform the XML signing using XMLSec tool and PKCS11 Wrapper.

3. Linux (Ubuntu): Sign and Verify

The signing command must be run from the directory containing your configuration, so that the relative paths in the command resolve correctly.

Steps:

Step 1: Change to the Working Directory

- For signing, change the working directory of the terminal to that folder which contains your “ec_pkcs11client.ini” file.

```
aryan@TestUbuntu22: ~/PKCS11_Wrapper-Ubuntu
aryan@TestUbuntu22:~$ cd PKCS11_Wrapper-Ubuntu/
aryan@TestUbuntu22:~/PKCS11_Wrapper-Ubuntu$ ls
ec_pkcs11client.ini  EC_PKCS11_CLIENT-LogConfig.xml  ec_pkcs11client.so  pkcs11properties.cfg
aryan@TestUbuntu22:~/PKCS11_Wrapper-Ubuntu$
```

Step 2: Run the Signing Command

- Now, run the signing command from this directory.

```
<Path of xmlsectool.sh file> --sign \
--pkcs11Config <Path of pkcs11properties.cfg> \
```

```
--keyAlias <Key alias of the signing certificate> \  
--keyPassword NONE \  
--inFile <Path of XML file> \  
--outFile <Path of the signed XML file>
```

- A sample command is provided below:

```
../xmlsectool-3.0.0-bin/xmlsectool-3.0.0/xmlsectool.sh --sign \  
--pkcs11Config pkcs11properties.cfg \  
--keyAlias DemoCertificate \  
--keyPassword NONE \  
--inFile ../xmlSample.xml \  
--outFile ../out-xmlSample.xml
```

Step 3: Verify the Signature

- For verification, run the following command:

```
<Path of xmlsectool.sh file> --verifySignature \  
--pkcs11Config <Path of pkcs11properties.cfg> \  
--keyAlias <Key alias of the signing certificate> \  
--keyPassword NONE \  
--inFile <Path of the signed XML file>
```

- A sample command is provided below:

```
../xmlsectool-3.0.0-bin/xmlsectool-3.0.0/xmlsectool.sh \  
--verifySignature \  
--pkcs11Config pkcs11properties.cfg \  
--keyAlias DemoCertificate \  
--keyPassword NONE \  
--inFile ../out-xmlSample.xml
```

Step 4: Option Reference

- The following are the options and their meaning in the commands:

Option	Description
--sign	Signs the input document and writes a signed copy to the output path.
--verifySignature	Checks the signature on an already signed document.
--pkcs11Config	The path of the pkcs11properties.cfg file, which directs XMLSecTool to the PKCS#11 wrapper.
--keyAlias	The key alias of the signing certificate in CodeSign Secure.

Option	Description
--keyPassword	The key password. This is NONE because access is controlled by the wrapper configuration rather than by a keystore password.
--inFile	The path of the XML file to sign, or of the signed file to verify.
--outFile	The path of the signed XML file to produce when signing.

Step 5: Cross-Check in CodeSign Secure

- Open the CodeSign Secure portal and navigate to Reports > Signing Request Report, where every signing request performed through the wrapper is recorded for audit purposes.

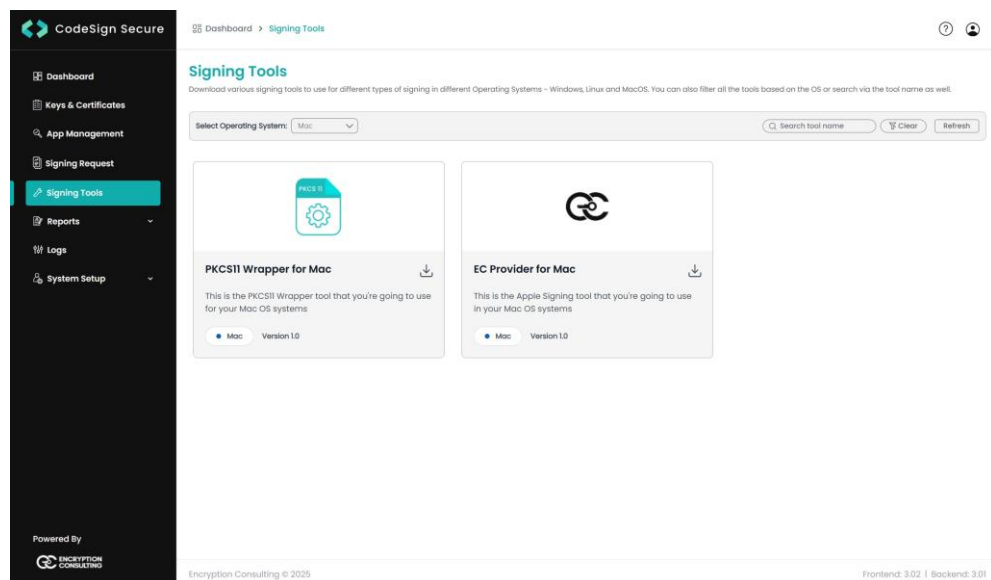
4. macOS: Install and Configure

To perform XML Signing using XMLSec Tool and our PKCS11 Wrapper in a MacOS machine, you would need to follow the below steps.

Steps:

Step 1: Download the PKCS#11 Wrapper

- Download our PKCS11 Wrapper Tool from the CodeSign Secure portal in the Signing Tools section in your MacOS.



Step 2: Update the Configuration Files

- Go to your PKCS11 Wrapper directory (../PKCS11_Wrapper-Mac) and update the “pkcs11properties.cfg” and “ec_pkcs11client.ini” with the required details.

- For “pkcs11properties.cfg”, update the library path.

NOTE: When using Luna HSM, add the slot number in the pkcs11properties.cfg file as shown below.

```
subhayuroy@Subhayus-MacBook-Pro PKCS11_Wrapper-Mac % cat pkcs11properties.cfg
name=signingmanager
library=/Users/subhayuroy/PKCS11_Wrapper-Mac/ec_pkcs11client.dylib
slot=3
attributes=compatibility
attributes(*, *, *) = {
CKA_TOKEN=true
}
subhayuroy@Subhayus-MacBook-Pro PKCS11_Wrapper-Mac %
```

- For the “ec_pkcs11client.ini” file, update the six fields listed in Section 1, Step 2.
- The initialization and configuration files should look like this with all the correct file paths and settings.

```
subhayuroy@Subhayus-MacBook-Pro PKCS11_Wrapper-Mac % cat ec_pkcs11client.ini
[logconfig_file]
name=/Users/subhayuroy/PKCS11_Wrapper-Mac/EC_PKCS11_CLIENT-LogConfig.xml

[server_url]
;url=https://lunacodesignsecure.encryptionconsulting.com
url=https://devcodesignsecure.encryptionconsulting.com
;url=http://127.0.0.1:6666

[pfxfile_path]
path=/Users/subhayuroy/PKCS11_Wrapper-Mac/AuthCert-nCipher.pfx

[pfxfile_passwd]
passwd=f4550251e262

[login_token]
username=Sam@encryptionconsulting.com
passcode=8!$U3QXS5Xtu2vf
subhayuroy@Subhayus-MacBook-Pro PKCS11_Wrapper-Mac % cat pkcs11properties.cfg
name=signingmanager
library=/Users/subhayuroy/PKCS11_Wrapper-Mac/ec_pkcs11client.dylib
attributes=compatibility
attributes(*, *, *) = {
CKA_TOKEN=true
}
subhayuroy@Subhayus-MacBook-Pro PKCS11_Wrapper-Mac %
```

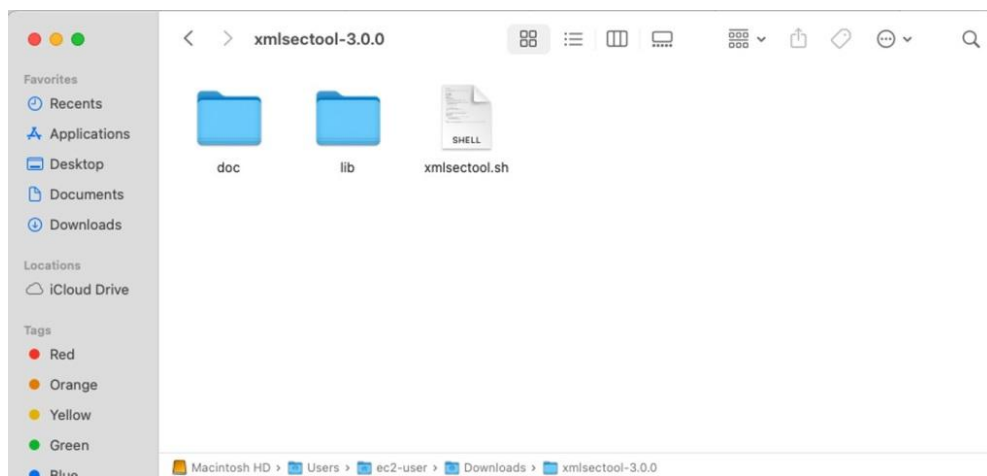
Step 3: Download XMLSecTool

- Next we will need to download the latest version of XMLSec Tool (xmlsectool-3.0.0-bin.zip) using this [link](#). You will need to extract the zip file into a directory of your choice.

Index of /downloads/tools/xmlsectool/latest

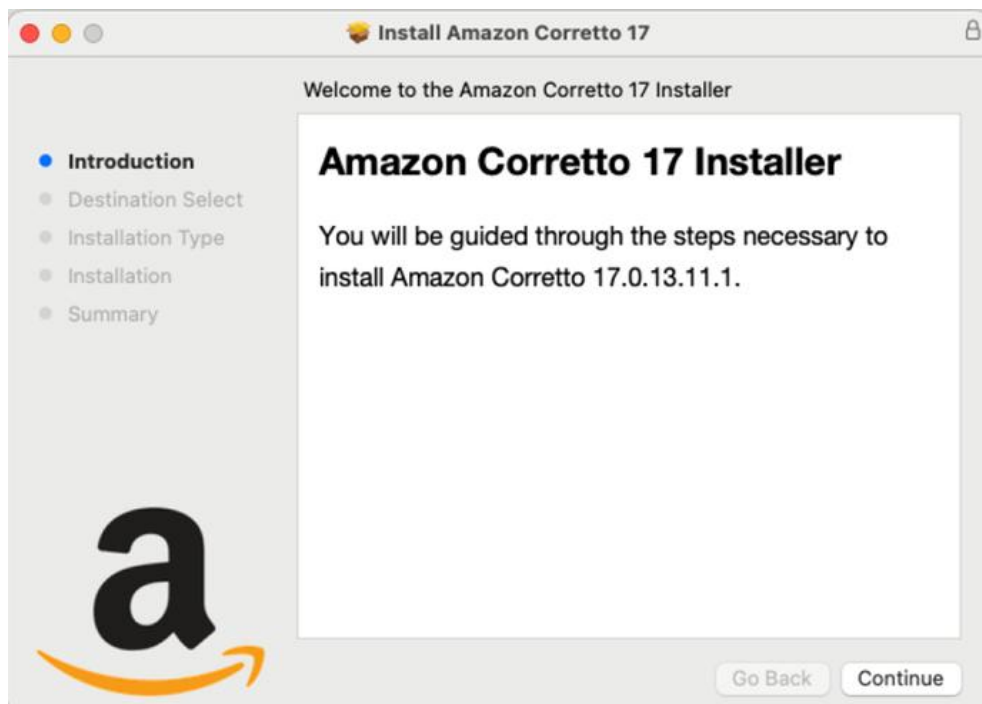
Name	Last modified	Size
Parent Directory		-
xmlsectool-3.0.0-bin.zip.sha1	2020-12-15 15:52	40
xmlsectool-3.0.0-bin.zip.md5	2020-12-15 15:52	32
xmlsectool-3.0.0-bin.zip.asc	2020-12-15 15:52	801
xmlsectool-3.0.0-bin.zip	2020-12-15 15:49	14M

- You will need this shell file to perform the XML signing.



Step 4: Install Java

- Now, you would need to download and install Java in your MacOS machine. Download the .pkg file of the Amazon Corretto 17 java version using this [link](#). You can check other supported Java versions with XMLSec Tool [here](#).
- Double-click the downloaded file to begin the installation wizard and follow the steps in the wizard.



- To check whether Java has installed properly or not, run the below command:

```
java -version
```

Step 5: Set the JAVA_HOME Environment Variable

- Now, you would need to add a “JAVA_HOME” environment variable in your system. First you will need to get the complete installation path using the below command.

```
/usr/libexec/java_home --verbose
```

```
ec2-user@ip-172-31-26-167 PKCS11_Wrapper-Mac % /usr/libexec/java_home --verbose
Matching Java Virtual Machines (1):
  17.0.13 (x86_64) "Amazon.com Inc." - "Amazon Corretto 17" /Library/Java/JavaVirtualMachines/amazon-corretto-17.jdk/Contents/Home
ec2-user@ip-172-31-26-167 PKCS11_Wrapper-Mac %
```

- Replace the path of the Java file before running the below command to set the JAVA_HOME variable.

```
echo 'export JAVA_HOME="/Library/Java/JavaVirtualMachines/\
amazon-corretto-17.jdk/Contents/Home"' >> ~/.zshrc
```

- Run the below command to reload the environment variable:

```
source ~/.zshrc
```

Step 6: Install the Remaining Packages

- You would also need to install some other pre-requisite packages to perform XML signing.

```
brew install log4cxx  
brew install curl
```

- We are now ready with our MacOS environment to perform the XML signing using XMLSec tool and PKCS11 Wrapper.

5. macOS: Sign and Verify

As on Linux, the signing command is run from the directory containing your configuration files.

Steps:

Step 1: Change to the Working Directory

- For signing, change the working directory of the terminal to that folder which contains your “ec_pkcs11client.ini” file.

Step 2: Run the Signing Command

- Now, run the signing command from this directory.

```
<Path of xmlsectool.sh file> --sign \  
  --pkcs11Config <Path of pkcs11properties.cfg> \  
  --keyAlias <Key alias of the signing certificate> \  
  --keyPassword NONE \  
  --inFile <Path of XML file> \  
  --outFile <Path of the signed XML file>
```

- A sample command is provided below:

```
../xmlsectool-3.0.0/xmlsectool.sh --sign \  
  --pkcs11Config pkcs11properties.cfg \  
  --keyAlias gpg2 \  
  --keyPassword NONE \  
  --inFile ../sample.xml \  
  --outFile SignedSample.xml
```

NOTE: The path to xmlsectool.sh differs from the Linux example because the archive is extracted to a different depth. Use whichever path matches your own extraction.

Step 3: Verify the Signature

- For verification, run the following command:

```
<Path of xmlsectool.sh file> --verifySignature \  
  --pkcs11Config <Path of pkcs11properties.cfg> \  
  --keyAlias <Key alias of the signing certificate> \  
  --keyPassword NONE \  
  --inFile <Path of the signed XML file>
```

- A sample command is provided below:

```
../xmlsectool-3.0.0/xmlsectool.sh --verifySignature \  
  --pkcs11Config pkcs11properties.cfg \  
  --keyAlias gpg2 \  
  --keyPassword NONE \  
  --inFile ../SignedSample.xml
```

Step 4: Cross-Check in CodeSign Secure

- Open the CodeSign Secure portal and navigate to Reports > Signing Request Report, where every signing request performed through the wrapper is recorded for audit purposes.